

**APPLICATION FOR EXEMPTION FROM THE RULES MADE BY NEW ZEALAND THOROUGHBRED RACING INC, HARNESS RACING NEW ZEALAND AND NZ GREYHOUND RACING ASSOCIATION, PURSUANT TO SECTION 40, RACING INDUSTRY ACT 2020**

Mr  
Mrs  
Miss/Ms SURNAME: .....

CHRISTIAN NAMES: .....

OTHER NAMES USED: eg Maiden Name.....

ADDRESS: .....

..... EMAIL ADDRESS: .....

HOME PHONE: ..... BUSINESS PHONE: ..... MOBILE: .....

DATE OF BIRTH: ..... OCCUPATION: .....

EMPLOYER: .....

**DETAILS OF PREVIOUS CRIMINAL CONVICTIONS**

DATE OF CONVICTION	NAME OF COURT	CHARGE	PENALTY IMPOSED
1			
2			
3			
4			
5			
6			

**Note:** If insufficient space, attach a list of additional convictions.

An exemption is required to attend race meetings held by any of the three bodies and if you wish to have an interest in the racing of a horse or a greyhound registered with New Zealand Thoroughbred Racing or Harness Racing New Zealand or NZ Greyhound Association.

Indicate your code of principal interest by placing a tick in **ONE** of the following boxes:




THOROUGHBRED

HARNESS

GREYHOUNDS

PLEASE STATE YOUR REASON FOR DESIRING EXEMPTION: .....

.....

**DECLARATION:** .....I declare that the information I have supplied for this application is true and correct. I understand that it is an offence to wilfully supply any false or misleading information. I consent to the Racing Integrity Board and/or New Zealand Thoroughbred Racing and/or Harness Racing New Zealand and/or New Zealand Greyhound Racing making enquiries for my exemption from the Rules pursuant to the Racing Industry Act 2020, Section 40 and authorise any person approached by a representative of the aforementioned organisations in this matter to release or disclose all relevant information.

Applicant's Signature: ..... Date: .....

Name: .....

Address: .....

Email Address: ..... Phone Number: .....

## PRIVACY ACT 2020

This information is being collected and will be held by The Racing Integrity Board, Private Bag 17902, Greenlane, Auckland. It is being collected for the purpose of the RIB considering this application and processing the matter the subject of this form. If you do not provide the requested information then RIB may not be able to consider this application and process the matter the subject of this form. You may access your personal information (if it is readily retrievable) at the above address and you may request the RIB to correct that information.

**Important:** *By signing this form you also authorise RIB to collect information from third parties to advance its consideration of the matter the subject of this form.*

### TO: The Applicant -

Please complete in full the reverse side of this form as an application for exemption under the provisions of Section 40 of the Racing Industry Act 2020. If you are in doubt as to your convictions, you can make application for that information to the Privacy Officer, Department of Courts, P O Box 2750, Wellington.

**NB:** The onus of responsibility for supplying details of the relevant convictions rests with the applicant.

Please submit the completed form together with the prescribed fee and any submissions that you wish to make to:

Exemption Application  
Racing Integrity Board  
Private Bag 17902  
Greenlane  
Auckland 1051

An extract from the Rules made pursuant to the Racing Industry Act 2020 appears below:

In pursuance and exercise of the power contained in Section 40 of the Racing Industry Act 2020, New Zealand Thoroughbred Racing, Harness Racing New Zealand and Greyhound Racing New Zealand resolves to, and does hereby make the following rules controlling the admission of persons to all racecourses used by racing clubs which are now, or may at any time hereafter, be registered with the said New Zealand Thoroughbred Racing.

## RULES

Under the Racing Industry Act 2020

1. **Interpretation** – In this schedule:
  - (a) **racecourse, racing rules, racing code, and racing betting** each has the same meaning ascribed to it in section 5 of the Racing Industry Act 2020;
  - (b) **bookmaker** has the same meaning ascribed to it in section 4 of the Gambling Act 2003;
  - (c) **Exemptions Committee** means a committee comprising of the chief executive or general manager of each racing code and a chairman appointed by the racing codes;
  - (d) references to any Act include references to any subsequent Act consolidating or in substitution of it.
2. **Prohibited persons** – For the purpose of maintaining public confidence in the conduct of racing and the integrity of racing betting:
  - (a) persons (other than eligible individuals under the Criminal Records (Clean Slate Act) 2004 and those persons to which 2(b) applies) of the classes described in Category 1 are excluded absolutely from entering any racecourse to which section 40 of the Racing Industry Act 2020 applies;
  - (b) persons (other than eligible individuals under the Criminal Records (Clean Slate Act) 2004) of the classes described in Category 2 are excluded for a period of 2 years from the date of conviction from entering any racecourse to which section 40 of the Racing Industry Act 2020 applies.
3. **Exemptions** –
  - (a) Any person excluded under rule 2 hereof from entering a racecourse may apply in writing to the Exemptions Committee to be exempted wholly or in part from that prohibition.
  - (b) The Exemptions Committee may grant an exemption in whole or in part if it is satisfied that, having regard to the exceptional circumstances of the particular individual, doing so will not compromise the maintenance of public confidence in the conduct of racing and the integrity of racing betting.

### Category 1

Classes of person excluded absolutely from entering any racecourse under rule 2(a) –

#### Racing Disqualifications

- (a) Disqualified persons under the racing rules made by racing codes;
- (b) Persons who are disqualified or prohibited by racing authorities in any country outside New Zealand having reciprocal agreements with one or more of the racing codes;

#### Bookmaking, Betting and Racing

- (c) Bookmakers and persons acting as bookmakers agents but not persons lawfully acting as bookmakers or bookmakers agents in any country outside New Zealand;
- (d) Persons convicted (whether before or after the commencement of these rules) of any offence or infringement offence under the Gambling Act 2003, the Gaming Act 1908 or under Part II of the Gaming and Lotteries Act 1977;
- (e) Persons convicted (whether before or after the commencement of these rules) of any offence under the Racing Industry Act 2020, Racing Act 2003 or the Racing Act 1971;

#### Offences

- (f) Persons convicted (whether before or after the commencement of these rules) of the following offences:
  - (i) endangering safety under sections 12 or 13 of the Summary Offences Act 1981;
  - (ii) possession of weapons or imitation firearms in a public place; or
  - (iii) any offence under the Gaming and Lotteries Act 1977 (other than Part II which relates to Bookmakers and Betting);

#### Crimes

- (g) Persons convicted (whether before or after the commencement of these rules) of any of the following crimes, or of being a party to any such crime, or of conspiring or attempting to commit any such crime:
  - (i) crimes involving dishonesty, fraud, forgery, bribery or corruption;
  - (ii) violence offences, sexual offences; indecent acts or arson;
  - (iii) serious drug offences;
  - (iv) burglary, robbery or conversion; or
  - (v) participation in an organised criminal group under section 98A of the Crimes Act 1961.

#### Overseas Convictions

- (h) Persons convicted (whether before or after the commencement of these rules) in countries outside New Zealand of offences or crimes corresponding to those mentioned in paragraphs (d), (e), (f) and (g) of these rules;

### Category 2

Classes of person excluded from entering any racecourse for the period referred to in rule 2(b) –

- (a) Persons convicted (whether before or after the commencement of these rules) of assault except those persons whose sentence or cumulative sentence (in the case of multiple convictions) in any such case is a fine of less than \$1000 or community work of less than 100 hours and those persons on whom no sentence was imposed;
- (b) Persons belonging to any one or more of the classes referred to in paragraphs (f) and (g) of Category 1 on whom no sentence was imposed or whose sentence:
  - (i) did not include a custodial sentence (as defined in section 4 of the Criminal Records (Clean Slate) Act 2004); and
  - (ii) did not include a fine of \$1,000 or more or a term of community work of 100 hours or more or supervision.